



More about the proposed *Canadian Securities Act*

Providing guidance and useful insights

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The scope of the new proposed Canadian Securities Act is extremely broad. The proposed legislation will change the nature of regulation and significantly enhance enforcement powers not just over the usual 'market participants' but also over many other businesses and professions.

The proposed Act creates the framework for the Canadian Securities Regulatory Authority (CSRA), which will include a Regulatory Division and the Canadian Securities Tribunal.

These new entities will have the authority to oversee and regulate the following:

- Exchange-traded and over-the-counter (OTC) derivatives
- Market participants
- Exchanges
- Clearing agencies
- Self-regulatory organizations
- Credit rating organizations
- Trade repositories
- Auditor oversight organizations.

The CSRA will also have the flexibility to designate and regulate new types of market participants.

If this list includes you, it will be important for you to make your views known to government as details are developed. Campbell Strategies has the experience and knowledge to assist you in making your views known in the critical months ahead. Visit us at: www.campbellstrategies.com

More information about the proposed act can be found at:
<http://www.fin.gc.ca/n10/10-051-eng.asp>

Contact us

If you have questions regarding the topics in this newsletter or related government matters, please contact our consultants.

Barry Campbell 416-368-7353 x260
barry@campbellstrategies.com

Paul Brown 416-368-7353 x236
paulb@campbellstrategies.com

Christopher Holz 416-368-7353 x261
chrish@campbellstrategies.com

Martha Black 416-368-7353 x230
marthab@campbellstrategies.com